



Roles & Responsibilities Overview
<ul style="list-style-type: none">• Monitor & Manage effort reporting for assigned certifiers• Review of all statements during 21 day review period• Place Statements in need of corrections “On-Hold”• Initiate salary journals for statements in need of correction• Process effort tasks generated for reopened statements• Assist certifiers in completing timely certification

Grant Manager (GM) is a role in ecrt that is given to individuals in the department that have the responsibility for managing and monitoring the Effort Reporting of their assigned certifiers (Faculty, PI's or other Academic Professionals who self-certify annual statements or who certify salary of others charged to their sponsored awards on quarterly project statements). This includes reviewing statements to ensure that they accurately reflect the sources of federal sponsored funding, initiating salary journals on statements that need corrections and following up to ensure that corrections are reflected and statements are certified on time.

The process is generally initiated at the beginning of a 21 day review period prior to the start of each certification period. Dates for each review and certification period can be found on the Harvard ecrt support page and upcoming dates can be viewed on the ecrt login page. Each review period is kicked off by an email to all Grant Managers generated by the ecrt support team. Upon receipt of this email, Grant Managers should log into the system and review all their certifier's statements.

Accessing Statements

To view Annual statements during the review period, a GM should navigate to the appropriate department dashboard and select the “People” tab. Here you can access statements by expanding the sponsored folder. Statements belonging to you should have your name listed in the Grant Manager column. These assignments are given by your departments Primary Effort Coordinator (PEC). To navigate to the statement, hover over the icon in the “Statements” column to locate the period for review.



Grant Manager Job Aid

1.) Select "Manage" and then "Department Dashboard" from the ecrt homepage.

2.) Choose the appropriate department from the list available

3.) Navigate to the "People" tab and expand the "Sponsored" Column to see a list of all the annual certifiers you have access to.

4.) Access the statements by clicking on the icon relating to the desired period where you are listed as grant manager

Name	Alternate Effort Coordinator	Grant Manager	Employee ID	Role	Statements	Action
- Sponsored						
Agarwal, Anshika	Mercado, Christina R - 90910442	Mercado, Christina R - 90910442	40910423	6, Certifier		
Baerenghassen, Tai	Campbell, Brian J - 20799560	Campbell, Brian J - 20799560	10288847	6, Certifier		
Boydston, Dr. Daniel	Campbell, Brian J - 20799560	Campbell, Brian J - 20799560	70626787	9, No Role		
Bretanourt, Dr. Theresa M. B.	McCarte, Natalie - 10929628	McCarte, Natalie - 10929628	40484213	6, Certifier		

During the certification period you can access them from the "My Certifier Portfolio" tab from the ecrt homepage.

Work List for **Brian Campbell**

Welcome to Harvard University's new web-based time and effort reporting system, ecrt (Effort Certification Reporting Technology).

As a recipient of federal funding, Harvard University is required to comply with the Office of Management and Budget Circular A-21, 2 CFR 200, and other federal requirements for certifying effort expended on sponsored awards. Harvard faculty and staff are expected to record their time commensurate with the effort expended on all activities they perform. The tabs below list the effort certification tasks that require your attention - whether it is certifying statements, processing statements, or following up on outstanding statements. To view and resolve the specific task, select the link in the task description.

Statement Owner	Department	Period	Due Date	Type	Status	PI
Baerenghassen, Tai	23695-SPH+Global Health+Population-23695	07/01/2015-06/30/2016	07/19/2016	Annual	Available for Certification	
Fawc, Natalie	23695-SPH+Global Health+Population-23695	07/01/2015-06/30/2016	07/19/2016	Annual	Available for Certification	
Gunaratne, Nitaya	23695-SPH+Global Health+Population-23695	07/01/2015-06/30/2016	07/19/2016	Annual	Available for Certification	
Liu, Eric	23695-SPH+Global Health+Population-23695	07/01/2015-06/30/2016	07/19/2016	Annual	Available for Certification	
Souffert, Christopher	23695-SPH+Global Health+Population-23695	07/01/2015-06/30/2016	07/19/2016	Annual	Available for Certification	
Yonah, Maria	23695-SPH+Global Health+Population-23695	07/01/2015-06/30/2016	07/19/2016	Annual	Available for Certification	

To view Quarterly Project statements, a GM should click on the "My Account Portfolio" tab from the homepage and navigate to the desired statements by clicking on any of the row hyperlinks.

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As a recipient of federal funding, Harvard University is required to comply with the Office of Management and Budget Circular A-21, 2 CFR 200, and other federal requirements for certifying effort expended on sponsored awards. Harvard faculty and staff are expected to record their time commensurate with the effort expended on all activities they perform. The tabs below list the effort certification tasks that require your attention - whether it is certifying statements, processing statements, or following up on outstanding statements. To view and resolve the specific task, select the link in the task description.

Principal Investigator	Project Title	Subactivity Name	Account Number	Sponsor Name	Period	Status	Staff
Fawc, Natalie	NIHFC - BRCA	20020716 - NIH Supplement #1	10100-200207-000	Tufts University	FY16 Q4 DUE	Available for Certification	
Fawc, Natalie	NIHFC - BRCA	20020716 - NIH Supplement #1	10100-200207-000	Tufts University	FY17 Q1	In Progress	
Fawc, Natalie	NIHFC - BRCA	20020716 - NIH Supplement #1	10100-200207-000	Tufts University	FY16 Q4 DUE	Available for Certification	
Fawc, Natalie	NIHFC - BRCA	20020716 - NIH Supplement #1	10100-200207-000	Tufts University	FY17 Q1	In Progress	
Fawc, Natalie	NIHFC - BRCA	20020716 - NIH Supplement #1	10100-200207-000	NIHFC	FY16 Q4 DUE	Available for Certification	
Fawc, Natalie	NIHFC - BRCA	20020716 - NIH Supplement #1	10100-200207-000	NIHFC	FY16 Q4 DUE	Available for Certification	
Fawc, Natalie	NIHFC - BRCA	20020716 - NIH Supplement #1	10100-200207-000	NIHFC	FY17 Q1	In Progress	



They can also be accessed through the department dashboard on the “Project Certifications” tab

Department Dashboard for SPH*Global Health+Population-23695 - 23695

Search for Department: Choose

Project Certification Summary Chart: Employee Type [Select] Period [Select]

The default search is for project statements for all projects in this Department, for the current period of performance

Status	Quarterly Personnel
In Progress	53 100.0%

Project Nickname	Project Number	Grant Manager	PI	PI Department	Statements	Action
Harvard Tanzania HIV/AIDS Clinical Trials Unit	111681-262527-0791	Campbell, Brian - 20799950	Faced, Walter - 10332451	SPH*Global Health+Population-23695		
GID	111688-262535-0591	Campbell, Brian - 20799950	Faced, Walter - 10332451	SPH*Global Health+Population-23695		
GID	111688-262535-0592	Campbell, Brian - 20799950	Faced, Walter - 10332451	SPH*Global Health+Population-23695		

or by selecting “Certify” followed by “My Project Statements” from the global navigation bar.

HARVARD UNIVERSITY

Home Certify Manage Reports Administration Links

Work List for My Project Statements

Welcome to Harvard University's new web-based time and effort reporting system, ecrt (Effort Certification Reporting Technology).

Additionally you can access individual statements by using the “Look-Up Page” from the “Manage” drop-down on the global navigation bar. Please note that three characters must be entered in order for results to populate.

HARVARD UNIVERSITY

Home Certify Manage Reports Administration Links

Welcome, [View my account](#) | [Sign Out](#)

Look-up Page

The Look-Up page allows you to search for Employee, Fund and Account. Select a match from the list and be taken to the appropriate page. The Enter and Tab keys do not allow selection of data.

Type in the account for project statements or the name or HUD of the statement owner for annual statements. Results will return in their corresponding columns below

Enter Search Criteria:

Employee	Department	Active Fund / Account	Inactive Fund / Account

Reviewing Statements

All statements should be reviewed for accuracy in a timely manner to ensure that when the certification period begins, faculty project PI's and other certifiers are able to proceed with ease.

Annual Effort Statements



Below is an example of an annual effort statement as well as a key for the different statement elements:

The screenshot shows the 'Effort Statement Instructions' interface. It includes a 'Work List' on the left, a 'Statement Overview' on the right, and a main table of effort statements. The table has columns for 'Salary', 'Cost Share', 'Computed Effort', 'Certified Effort', and 'Certify?'. The 'Grand Total' row shows 100% for all categories. Numbered callouts (1-10) point to specific UI elements: 1. Work List; 2. Statement Overview; 3. Warning message; 4. Statement details table; 5. Report icon; 6. Payroll report icon; 7. Hold checkbox; 8. Email icon; 9. Notes icon; 10. Attachments icon.

Account	Salary	Cost Share	Computed Effort	Certified Effort	Certify?
Sponsored					
116527-282891-8901 Main V1.1	6%	0%	6%	6%	<input type="checkbox"/>
Award Total	6%	0%	6%	6%	
116524-282740-8901 Main V1.2	17%	0%	17%	17%	<input type="checkbox"/>
Award Total	17%	0%	17%	17%	
116543-282891-8904 Main 4 P Subaccount Transition	2%	0%	2%	2%	<input type="checkbox"/>
Award Total	2%	0%	2%	2%	
Sponsored Total:	25%	0%	25%	25%	
Non Sponsored					
000000-000000-00000 Non Sponsored	75%	0%	75%	75%	<input type="checkbox"/>
Non Sponsored Total:	75%	0%	75%	75%	
Grand Total:	100%	0%	100%	100%	

- 1.) The work list displays the name of the individual and department information relating to the statement you are viewing and enables to you to toggle to past or future statements based on their status.
- 2.) Header information contains the certifier information (including proxy assignments), period, and status of the statement
- 3.) An information box displays warnings and actions you can perform
- 4.) Statement details list all the funding sources (Sponsored and Non-Sponsored) and includes their corresponding Salary, Cost Share (salary charged to a companion account), Computed Effort and Certified Effort.
- 5.) This icon enables you to run statement specific reports including the Payroll Report, Cost Share Report and the Certifier payroll Summary Report. When executed from here, report parameters default based on the statement values (i.e. HUID, dates). These will open in a new window.
- 6.) This icon will allow you to run a payroll report containing transaction details relating to the account. This will open in a new window or tab.
- 7.) This checkbox enables you to place a statement on hold to prevent certification or remove a hold that has previously been made. See section below for additional information relating to holds.
- 8.) This will launch an email to the Primary Effort Coordinator (PEC) associated with the statement owner's primary department.
- 9.) This icon enables a user to add a note to statement. To view existing notes, select the arrow next to the "Notes" folder name.
- 10.) This enables a user to add and attachment to the statement (statements must be in pdf, gif, tiff or jpeg format). To view existing attachments, select the arrow next to the "Attachments" folder name.

Quarterly Project Statements



Grant Manager Job Aid

Below is an example of a quarterly project statement as well as a key for the different statement elements:

The screenshot displays the Grant Manager interface. At the top left, a 'Work List' shows a table of accounts with columns for status (Available for Certification, In Progress), account number, PI name, and fiscal year. A callout '1' points to the PI name, and '2' points to an expand icon. To the right, a 'Project Information' pane shows details for a selected account, with callout '3' pointing to the Project Title. Below this is a 'Project Statement' header with callout '4' pointing to the account number and title, and '9' pointing to an 'On Hold' checkbox. The main part of the screenshot is a table with columns: Personnel, Object Code, FTE, Salary, Effort % Based on Salary, Certify, and Action. Callout '5' points to the Salary column, '6' to an icon in the Action column, '7' to the Certify column, and '8' to another icon in the Action column. At the bottom, there are 'Notes' and 'Attachments' sections with callouts '11' and '12' respectively. A 'Get Help' button and 'Home' link are also visible.

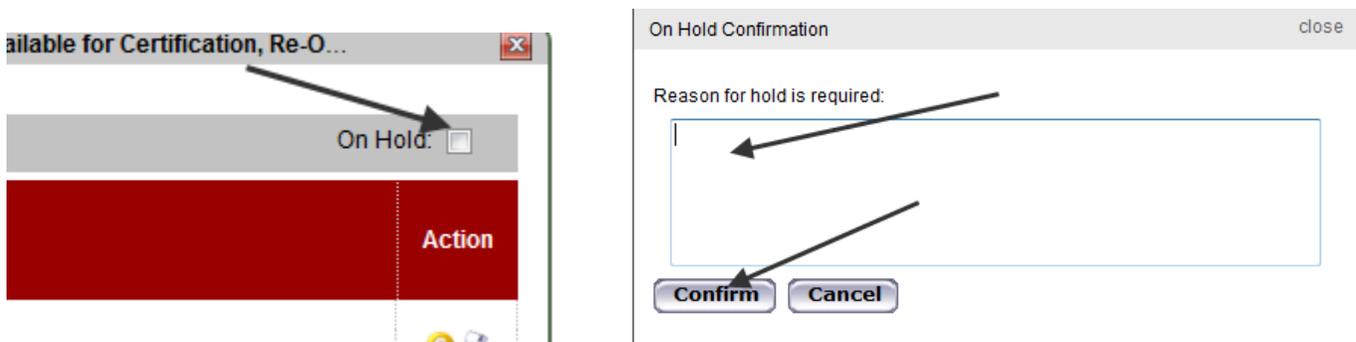
- 1.) The work list displays the name of the PI of the account and all the accounts associated with the certifier by status below.
- 2.) This icon enables a user to expand beyond an individual statement to “select-all” statements to be visible in a single view.
- 3.) This section displays additional details of the account selected or provides a link to these details if “select-all” has been checked.
- 4.) Header information contains the project account, title, period, status of the statement and any designee assignments.
- 5.) Statement details list all the individuals charged as well as their corresponding Object Code, FTE, Salary and Effort % Based on Salary
- 6.) This icon enables you to run statement specific reports including the SPES Report, Cost Share Report and the Account Award Summary. When executed from here, report parameters default based on the statement values (i.e. account number, dates). These will open in a new window.
- 7.) This enables a user to run a Project Summary Report to see where the rest of the effort of an individual is being expended during the quarter.
- 8.) This icon will allow you to run a payroll report containing transaction details for the individual relating to the account and dates. This will open in a new window or tab.
- 9.) This checkbox enables you to place a statement on hold to prevent certification or remove a hold that has previously been made. See section below for additional information relating to holds.
- 10.) This will launch an email to the Primary Effort Coordinator (PEC) associated with the statement owner’s primary department.
- 11.) This icon enables a user to add a note to statement. To view existing notes, select the arrow next to the “Notes” folder name.
- 12.) This enables a user to add and attachment to the statement (statements must be in pdf, gif, tiff or jpeg format). To view existing attachments, select the arrow next to the “Attachments” folder name.



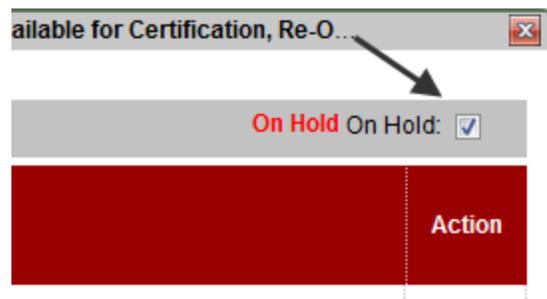
Putting Statements on Hold

If when reviewing a statement it is determined that either a correction is needed or further investigation is required, you may elect to place the statement On-Hold. This will prevent notification and reminder emails from going out to the certifiers when the certification period opens and will also prevent the statement from being certified during this time until the hold is removed.

To place a statement on hold an effort coordinator should select the “On Hold” check box, enter the reason for the hold (i.e. awaiting corrective journal/ need to verify) in the required field, and selecting the confirm button.



A hold must be manually removed by navigating to the statement and un-checking the box. Removing holds while in the certification period will generate an email to go to the certifier to remind them to certify their statement.



Email notifications are NOT sent when a statement is placed on hold, removed from on hold (outside certification period), or for statements that are still on hold. The best Practice is to make sure to review statements as soon as possible during the review period and make corrections prior to the end of the review period to avoid putting statements on hold.

On-hold statements can be monitored by the project dashboards (indicated by red highlight) as well as reports in the reporting menu.



Please note that placing a statement on hold DOES NOT extend the review or certification period.

Processing Payroll Tasks

If a salary adjustment journal is made to a federal award after the certification has been signed off on, an effort task may be generated in the system. This means that the journal has posted and created a variance above the threshold (3% for quarterly or 5% for annual) and the certification will need to be re-opened and re-certified.

When this occurs, an “Effort Tasks” tab will display as the default tab on your homepage and you will see any outstanding tasks listed.

Work List for ~~Qualifier~~ Qualifier

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Certifier To Do List		Effort Tasks (1)	My Certifier Portfolio	My Account Portfolio
Type	Identifier	Name	Date	
Process Project Payroll Adjustment	123876-322477-0001	Main 1	08/16/2016 10:59 PM	

To view the task, click on the task name.

The Project Payroll Adjustment Reconciliation Window displays and will show the details of the payroll transactions. If the change is displaying as intended, selecting the “Post & Reopen” button will cause the statement to change from a “Certified” status to an “Available for Certification, Reopened by Salary Adjustment” status and will allow the certifier to go back in and certify. Reopened Statements should be certified with 21 days of the notification that is received. It is a best practice to add a note or attach a file to the statement to document why the certification was reopened.



Grant Manager Job Aid

Only select “Post & Reopen” if the change is displaying correctly and if the change is above the variance threshold (3% quarterly, 5% annual). If the change is below the threshold it should auto-process. If you receive a task that is below the threshold please notify the ecrt support team (ecrt@harvard.edu).

Ecrt Reports

In addition to the reports accessible from the statements themselves, the report menus offer a number of tools to assist you in the management of effort reporting. Unlike, reports from statements, when running report from this menu, you will need to enter the desired parameters. Reports are divided into two categories for GM’s: (1) Monitoring (2) Payroll/Cost Share. The data available and the limitations within the reports is based on the user’s role and the related security access. Reports can be accessed by clicking on “Reports” on the tool bar.

Below are some helpful reports currently available to Grant Managers:

Report Category	Report Name	Description
Management	Certification Status Report	Displays a list of all certification statements that have a specified status at the time the report is run. You must choose the status(es) to be included, employee type or date range, Department and/or roles to be included.
Management	Certification Status Summary Report	The Certification Status Summary Report displays the number of certification statements for a specific employee type and a specific Period of Performance in each status throughout the institution grouped by Department.
Management	Project Certification Status Summary Report	The Project Certification Status Summary Report displays the number of project statements for a specific employee type and a specific Period of Performance or for a specific Principal Investigator in each status throughout the institution grouped by Department.
Management	Project Status Report	Displays a list of all project statements that have a specified status at the time the report is run. You must choose the status(es) to be included, employee type or date range, Department and/or roles to be included.
Payroll/ Cost Share	Payroll Report	The Payroll Report is a payroll summary report for a specific individual, Department or Account and date range.



ECRT Assistance

If you have questions about any of this please refer to your department's Primary Effort Coordinator who can be identified by navigating to the department dashboard and is the individual highlighted on the Department Information Tab.

Home Certify Manage Reports Administration Links

Department Dashboard for SEAS Applied Math, Fac Supp-28721 - 28721

Search for Department:

People Project Certifications Fund and Account **Department Information**

Department Basic Information:

Name: SEAS Applied Math, Fac Supp-28721 Type: Code: 28721
 Description: Active: Yes

Department Effort Coordinator(s)

Name	Address	Phone	Email	Action
Aragao, Tara M - 20520130			tarago@seas.harvard.edu	
Barton, David - 79758885			dbarton@seas.harvard.edu	
Beno, Ashley E - 21140229			abeno@seas.harvard.edu	
Cassidy, Joan E - 80792232			jcassid@seas.harvard.edu	
Cheneth, Shweta - 30623944			shweta@seas.harvard.edu	
Colamino, Kara V - 80462292			kcar@seas.harvard.edu	
Cordin, Venonique - 80945191			vcordin@seas.harvard.edu	
Donnell, Tejana - 40321318			tdonnell@seas.harvard.edu	
Full location - 6071616			location_staff@seas.harvard.edu	

You can also contact your school's Tub Effort Coordinator (TEC). A list can be found towards the bottom of the OSP support page: <http://osp.finance.harvard.edu/effort-reporting>

At any time you may also reach out to the ecrt helpdesk (ecrt@harvard.edu) where unless noted as urgent, tickets will be responded to within two business days.